

02-13-02

A

02/11/02



Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (2/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	KNI-161-A
	First Inventor or Application Identifier	Obuchi et al.
	Title	Processing Method for Substrate
	Express Mail Label No.	ET831915399US

10/073429
02/11/02

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Specification <small>[Total Pages 8]</small> <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets 3]</small> 4. Oath or Declaration <small>[Total Pages 4]</small> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> <small>[Note Box 5 below]</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 14. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Other: application data sheet with fee transmittal..... * NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 21828 (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name	Carrier, Blackman & Associates, P.C.				
Address	24101 Novi Road, Suite 100				
City	Novi	State	Michigan	Zip Code	48375
Country	United States	Telephone	248.344.4422	Fax	248.344.1096

Name (Print/Type)	Joseph P. Carrier	Registration No. (Attorney/Agent)	31,748
Signature	<i>Joseph P. Carrier</i>	Date	11 Feb. 02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

24101 NOVI ROAD
SUITE 100
NOVI, MICHIGAN 48375

tel. 248-344-4422 / fax 248-344-1096
e-mail: cba@home.msen.com
www.carrier-blackman.com

* Registered to practice before the
U.S. Patent And Trademark Office

U.S. and Foreign Patents, Trademarks, Copyrights, Computer Law, Trade Secrets, Licensing, and Litigation

EXPRESS MAIL CERTIFICATE NO. ET831915399US

Box Patent Application
Assistant Commissioner For Patents
Washington, D.C. 20231

// February 2002

**APPLICATION DATA SHEET
INCLUDING BIBLIOGRAPHIC DATA, APPLICATION TRANSMITTAL,
FEE TRANSMITTAL, AND CERTIFICATE OF MAILING**

Sir:

Enclosed please find an application for U.S. Letters Patent as identified below:

Title Of Invention:	PROCESSING METHOD FOR SUSTRATE
Application Type:	utility
Attorney Docket Number:	KNI-161-A
Correspondence Customer Number:	21828
Inventors:	First Named Inventor
	Given Name: Kazuto
	Middle Name:
	Family Name: Obuchi
	Mailing Address c/o Tokyo Ohka Kogyo Co., Ltd.
	Street: 150, Nakamaruko, Nakahara-ku, Kawasaki-shi,
	City: Kanagawa
	Country: Japan
	Residence
	City: Kanagawa
	Country: Japan
	Citizenship: Japan
	Second Named Inventor
	Given Name: Kaoru
	Middle Name:
	Family Name: Mizutani
	Mailing Address c/o Tokyo Ohka Kogyo Co., Ltd.
	Street: 150, Nakamaruko, Nakahara-ku, Kawasaki-shi,
	City: Kanagawa
	Country: Japan
	Residence
	City: Kanagawa
	Country: Japan
	Citizenship: Japan

Third Named Inventor

Given Name: Atsushi
Middle Name:
Family Name: Matsushita
Mailing Address c/o Tokyo Ohka Kogyo Co., Ltd.
Street: 150, Nakamaruko, Nakahara-ku,
Kawasaki-shi,
City: Kanagawa
Country: Japan
Residence
City: Kanagawa
Country: Japan
Citizenship: Japan

Publication Data:

Publication Filing Type: new utility

Published Correspondence Name And Address

Name: Carrier, Blackman & Associates, P.C.
Address: 24101 Novi Road #100
City: Novi
State: MI
Postal Code: 48375
Country: United States
Telephone: 248.344.4422
Facsimile: 248.344.1096

Published First Assignee Information

Assignee: Tokyo Ohka Kogyo Co., Ltd.
Address: 150, Nakamaruko, Nakahara-ku,
Kawasaki-shi
City: Kanagawa
Country: Japan

Representative:

CUSTOMER NUMBER 21828

Carrier, Blackman & Associates, P.C.
24101 Novi Road #100
Novi, Michigan 48375
United States
Telephone 248.344.4422
Facsimile 248.344.1096
Joseph P. Carrier, Reg. No. 31,748
William D. Blackman, Reg. No. 32,397

Continuity Data:

none

Foreign Priority Benefit: **First Foreign Application**

Application Number: 2001-33246
Filing Date: 09 February 2001
Country: Japan
Priority Claimed: YES

This application includes: Specification, Claims and Abstract
Formal Drawings - 3 sheets
Declaration and Power Of Attorney
Preliminary Amendment-A
Assignment
MPEP 609 Statement

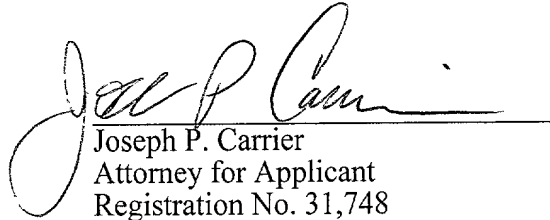
Fee Calculation And Transmittal

Basic Fee	\$740.00
0 claims over 20 x \$18.00	.00
0 independent claims over 3 x \$84.00	.00
TOTAL FILING FEE:	\$740.00

The Commissioner is hereby authorized to charge the required \$740.00 filing fee to Deposit Account 50-0744 in the name of Carrier, Blackman & Associates, P.C. The Commissioner is hereby also authorized to charge any deficiency which may be required during the entire pendency of the application, or to credit any excess paid during the entire pendency of the application, to Deposit Account 50-0744 in the name of Carrier, Blackman & Associates, P.C. A duplicate copy of this sheet is enclosed.

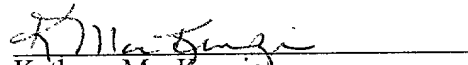
Respectfully submitted,

Customer Number 21828
Carrier, Blackman & Associates, P.C.
24101 Novi Road, Suite 100
Novi, Michigan 48375
11 February 2002


Joseph P. Carrier
Attorney for Applicant
Registration No. 31,748
(248) 344-4422

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail Certificate ET831915399US in an envelope addressed to Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231 on 11 February 2002.

Dated: 11 February 2002
JPC/km
enclosures


Kathryn MacKenzie

KNI-161-A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Obuchi et al.
Serial Number: Unknown
Filed: Filed Concurrently
Group Art Unit: Unknown
Examiner: Unknown
Confirmation Number: Unknown
Title: PROCESSING METHOD FOR SUBSTRATE

1c971 U.S. PTO
10/073429
02/11/02

TRANSMITTAL OF ASSIGNMENT DOCUMENT

Box Assignment
Commissioner Of Patents And Trademarks
Washington, D.C. 20231

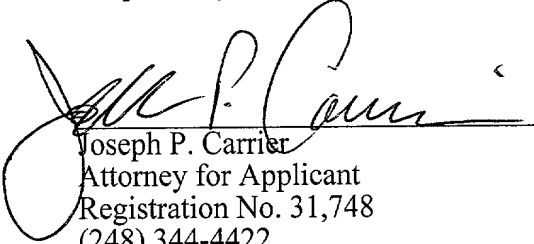
Sir:

In connection with the subject application, applicant encloses an Assignment document for recording in the U.S. Patent And Trademark Office and for return to applicant's undersigned agent.

Also enclosed is a check in the amount of \$40.00 for the Assignment recording fee. All correspondence should be sent to the address as noted below.

Respectfully submitted,

Customer Number 21828
Carrier, Blackman & Associates, P.C.
24101 Novi Road, Suite 100
Novi, Michigan 48375
11 February 2002


Joseph P. Carrier
Attorney for Applicant
Registration No. 31,748
(248) 344-4422

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Box Patent Application, Commissioner Of Patents And Trademarks, Washington, D.C. 20231 on 11 February 2002.

Dated: 11 February 2002
JPC/km
enclosures


Kathryn MacKenzie